

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**  
(Includes Reference to Provisional and PCT International Applications)

Attorney's Docket No.

032266-003

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;  
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Plant GntI sequences and the use thereof for the production of plants having reduced or lacking N-acetyl  
glucosaminyl transferase I (GnTI) activity

the specification of which (check only one item below):

☐ is attached hereto.

☒ was filed as United States application

Number Unassigned

on June 9, 2000

and was amended

on June 9, 2000 (if applicable).

☐ was filed as PCT international application

Number \_\_\_\_\_

on \_\_\_\_\_

and was amended

on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 (a)-(e) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

**PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. §119:**

| COUNTRY<br>(if PCT, indicate "PCT") | APPLICATION NUMBER | DATE OF FILING<br>(day, month, year) | PRIORITY CLAIMED<br>UNDER 35 U.S.C. §119                            |
|-------------------------------------|--------------------|--------------------------------------|---|
| Germany                             | 197 54 622.6       | 09 12 1997                           | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
|                                     |                    |                                      | <input type="checkbox"/> Yes <input type="checkbox"/> No            |
|                                     |                    |                                      | <input type="checkbox"/> Yes <input type="checkbox"/> No            |
|                                     |                    |                                      | <input type="checkbox"/> Yes <input type="checkbox"/> No            |
|                                     |                    |                                      | <input type="checkbox"/> Yes <input type="checkbox"/> No            |

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (CONT'D)**  
(Includes Reference to Provisional and PCT International Applications)

Attorney's Docket No.

032266-003

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Office all information known to me to be material to the patentability as defined in Title 37, Code of Federal Regulations §1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. §120:

| U.S. APPLICATIONS                     |                  | STATUS (check one)                         |         |           |
|---------------------------------------|------------------|--|---------|-----------|
| U.S. APPLICATION NUMBER               | U.S. FILING DATE | PATENTED                                   | PENDING | ABANDONED |
|                                       |                  |  |         |           |
|                                       |                  |  |         |           |
|                                       |                  |  |         |           |
| PCT APPLICATIONS DESIGNATING THE U.S. |                  |  |         |           |
| PCT APPLICATION NO.                   | PCT FILING DATE  | U.S. APPLICATION NUMBERS ASSIGNED (if any) |         |           |
| EP98/08001                            | 09 12 98         |  |         |           |
|                                       |                  |  |         |           |
|                                       |                  |  |         |           |

I hereby appoint the following attorneys and agent(s) to prosecute said application and to transact all business in the Patent and Trademark Office connected therewith and to file, prosecute and to transact all business in connection with international applications directed to said application:

|                           |        |                      |        |                        |        |
|---------------------------|--------|----------------------|--------|------------------------|--------|
| William L. Mathis         | 17,337 | R. Danny Huntington  | 27,903 | Gerald F. Swiss        | 30,113 |
| Robert S. Swecker         | 19,885 | Eric H. Weisblatt    | 30,505 | Michael J. Ure         | 33,089 |
| Platon N. Mandros         | 22,124 | James W. Peterson    | 26,057 | Charles F. Wieland III | 33,096 |
| Benton S. Duffett, Jr.    | 22,030 | Teresa Stanek Rea    | 30,427 | Bruce T. Wieder        | 33,815 |
| Norman H. Stepno          | 22,716 | Robert E. Krebs      | 25,885 | Todd R. Walters        | 34,040 |
| Ronald L. Grudziecki      | 24,970 | William C. Rowland   | 30,888 | Ronni S. Jillions      | 31,979 |
| Frederick G. Michaud, Jr. | 26,003 | T. Gene Dillahunty   | 25,423 | Harold R. Brown III    | 36,341 |
| Alan E. Kopecki           | 25,813 | Patrick C. Keane     | 32,858 | Allen R. Baum          | 36,086 |
| Regis E. Slutter          | 26,999 | Bruce J. Boggs, Jr.  | 32,344 | Steven M. du Bois      | 35,023 |
| Samuel C. Miller, III     | 27,360 | William H. Benz      | 25,952 | Brian P. O'Shaughnessy | 32,747 |
| Robert G. Mukai           | 28,531 | Peter K. Skiff       | 31,917 | Kenneth B. Leffler     | 36,075 |
| George A. Hovanec, Jr.    | 28,223 | Richard J. McGrath   | 29,195 | Fred W. Hathaway       | 32,236 |
| James A. LaBarre          | 28,632 | Matthew L. Schneider | 32,814 |                        |        |
| E. Joseph Gess            | 28,510 | Michael G. Savage    | 32,596 |                        |        |



**21839**

and: Ping Hwung and Leslie A. Mooi

Address all correspondence to:



**21839**

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Alexandria, Virginia 22313-1404

Address all telephone calls to: William H. Benz at (650) 622-2300.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (CONT'D)**  
(Includes Reference to Provisional and PCT International Applications)

Attorney's Docket No.

032266-003

|   |  |                           |              |
|---|--|---------------------------|--------------|
| FULL NAME OF SOLE OR FIRST INVENTOR           |  | SIGNATURE                 | DATE         |
| ANTJE VON SCHAEWEN                            |  | <i>Antje von Schaewen</i> | July 4, 2000 |
| RESIDENCE                                     |  | CITIZENSHIP               |              |
| NATRUPER STRAÙE 169A, 49076 OSNABRÜCK GERMANY |  | GERMAN                    |              |
| POST OFFICE ADDRESS                           |  |                           |              |
| FULL NAME OF SECOND JOINT INVENTOR, IF ANY    |  | SIGNATURE                 | DATE         |
| RESIDENCE                                     |  | CITIZENSHIP               |              |
| POST OFFICE ADDRESS                           |  |                           |              |
| FULL NAME OF THIRD JOINT INVENTOR, IF ANY     |  | SIGNATURE                 | DATE         |
| RESIDENCE                                     |  | CITIZENSHIP               |              |
| POST OFFICE ADDRESS                           |  |                           |              |
| FULL NAME OF FOURTH JOINT INVENTOR, IF ANY    |  | SIGNATURE                 | DATE         |
| RESIDENCE                                     |  | CITIZENSHIP               |              |
| POST OFFICE ADDRESS                           |  |                           |              |
| FULL NAME OF FIFTH JOINT INVENTOR, IF ANY     |  | SIGNATURE                 | DATE         |
| RESIDENCE                                     |  | CITIZENSHIP               |              |
| POST OFFICE ADDRESS                           |  |                           |              |
| FULL NAME OF SIXTH JOINT INVENTOR, IF ANY     |  | SIGNATURE                 | DATE         |
| RESIDENCE                                     |  | CITIZENSHIP               |              |
| POST OFFICE ADDRESS                           |  |                           |              |
| FULL NAME OF SEVENTH JOINT INVENTOR, IF ANY   |  | SIGNATURE                 | DATE         |
| RESIDENCE                                     |  | CITIZENSHIP               |              |
| POST OFFICE ADDRESS                           |  |                           |              |
| FULL NAME OF EIGHTH JOINT INVENTOR, IF ANY    |  | SIGNATURE                 | DATE         |
| RESIDENCE                                     |  | CITIZENSHIP               |              |
| POST OFFICE ADDRESS                           |  |                           |              |

Applicant or Patentee: Antje von Schaewen  
Application or Patent No.: Unassigned  
Filed or Issued: June 9, 2000  
For: Plant GntI sequences and the use thereof for the production of plants having reduced or  
lacking N-acetyl glucosaminyl transferase I (GnTI) activity

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 C.F.R. §§ 1.9(f) AND 1.27(b)) - INDEPENDENT INVENTOR**

As a below-named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 C.F.R. § 1.9(c) for purposes of paying reduced fees under Sections 41(a) and 41(b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled Plant GntI sequences and the use thereof for the production of plants having reduced or lacking N-acetyl glucosaminyl transferase I (GnTI) activity described in:

- ☐ the specification filed herewith  
☒ Application No. Unassigned, filed June 9, 2000  
☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed, or licensed and am under no obligation under contract or law to assign, grant, convey, or license any rights in the invention either to any person who could not be classified as an independent inventor under 37 C.F.R. § 1.9(c) if that person had made the invention, or to any concern that would not qualify as either a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern, or organization  
☐ persons, concerns, or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 C.F.R. § 1.27.)

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ individual    ☐ small business concern    ☐ nonprofit organization

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ individual    ☐ small business concern    ☐ nonprofit organization

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ individual    ☐ small business concern    ☐ nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the

earlier of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b).)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code; and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name Antje von Schaewen

Signature *Antje von Schaewen* Date July 4, 2000

Name \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Name \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_